



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideomi KOINUMA *et al.*

Application No.: 10/665,524

Confirmation No.: 5810

Filed: September 22, 2003

For: THIN FILM DEVICE AND ITS
FABRICATION METHOD

VIA FACSIMILE: 571 273 0025

Art Unit: 2826

Examiner: V. Mandala

Atty. Docket No.: 32307-191598

Customer No.

26694

PATENT TRADEMARK OFFICE

**STATUS INQUIRY concerning:
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN CONJUNCTION WITH MARCH 7, 2007
PETITION UNDER RULE 313(C) AND AMENDMENT
WITH REQUEST FOR CONTINUED EXAMINATION TRANSMITTAL**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants filed a SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT on March 23, 2007 in which applicants presented and cited on a 1449 a translation of an OFFICIAL NOTICE OF REJECTION.

Although applicants have received a Notice of Allowance, dated April 13, 2007, and various attachments thereto, applicants have not received at the undersigned firm an acknowledgement of the U.S. PTO receipt of the applicants' March 23, 2007 paper and USPTO initialed and dated copy of the March 23, 2007 1449.

Applicants respectfully request an initialed and dated copy of the 1449 form of March 23, 2007.

The following is a reiteration of the procedural history of this case as set forth in the March 23 paper.

In view of the first Notice of Allowance, the issue fee in this case was paid January 8, 2007.

On March 7, 2007, Applicants petitioned to withdraw the case from issue [with a concurrently filed RCE and an INFORMATION DISCLOSURE STATEMENT].

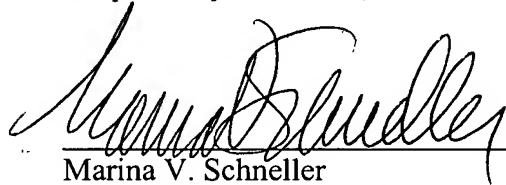
On March 9, 2007, the U.S. PTO Office of Petitions granted the petition of March 7, 2007.

Attached hereto is a 1449 form and a translation of an OFFICIAL NOTICE OF REJECTION [with a mailing date of February 2, 2007] concerning the Japanese Patent Application No. 2006-171766. The translation is cited on the 1449 form.

The Examiner is respectfully requested to consider the INFORMATION DISCLOSURE STATEMENT of March 7 together with the information provided hereby.

The Director is authorized to charge Deposit Account No. 22-0261 for any fee which may be related to the presentation.

Respectfully submitted,



Marina V. Schneller

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VENABLE

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Dated: April 24, 2007



FW

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2006		Application Number	10/665,524-Conf. #5810
		Filing Date	September 22, 2003
		First Named Inventor	Hideomi Koinuma
		Examiner Name	V. A. Mandala
		Art Unit	2826
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Attorney Docket No.	32307-191598	
TOTAL AMOUNT OF PAYMENT	(\$) 0.00		

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
_____ - 20 = _____	x _____	= _____		<u>Fee (\$)</u> <u>Fee Paid (\$)</u>

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 3 = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 100 = _____	/50	_____ (round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY		Registration No.	Telephone
Signature		(Attorney/Agent) 26,032	(202) 344-4000
Name (Print/Type)	Marina V. Schneller	Date	April 24, 2007

DC2DOCS1/848328

PATENT PROSECUTION RECEIPT OF FILING

138671

Attorney/LAA: _____

MVS/trs

Venable Filing Number

PTO Due Date: _____

Atty. Docket No:

32307-191598

Current Date: _____

March 23, 2007

Title of Application:

THIN FILM DEVICE AND ITS FABRICATION METHOD

Application No:

10/665,524

Filing Date: _____

September 22, 2003

Patent No. : _____

Issue Date: _____

The following items were received from Venable LLP, Washington, D.C.,
by the U.S. Patent & Trademark Office on the date stamped hereon:

- _____ Transmittal Letter
- ☒ **Fee Transmittal Letter**
- _____ New U.S. Patent Application
(pages of specification/claims)
- _____ Rule 53(d) Continued Prosecution Application
- _____ Rule 53(b) Continuation or Divisional Application
(**attach copy of specification, claims, drawings and declaration**)
- _____ U.S. National Stage Application of PCT Application
- _____ Request for Continued Examination (RCE) under 37 CFR 1.114
- _____ Application Data Sheet
- _____ Substitute Specification
- _____ Priority Document-Cert. Copy of
Appln.#: ; Country: ; Date Filed:
- _____ Formal Drawings (sheets, Figs.)
- _____ Inventor Declaration
- _____ Assignment w/Cover Sheet
- _____ Response to Notice to File Missing Parts
- _____ Response to Notice to File Missing Requirements
- _____ Response to Requirement
- ☒ **Supplemental Information Disclosure Statement with 1 cited reference**
- _____ Response
 - _____ Amendment Preliminary Amendment
- _____ Petition/Request for Extension of Time (mo. ext.)
- _____ Power of Attorney
- _____ Petition to Revive
- _____ Sequence Listing – CDR Enclosed? Yes No
- _____ Request for Non-Publication
- _____ Notice of Appeal
- _____ Appeal Brief (*in triplicate*)
- _____ Reply Brief (*in triplicate*) / Request for Oral Hearing
- _____ Confirmation of Hearing Petition
- _____ Issue Fee Transmittal
- _____ Certificate of Correction
- _____ Maintenance Fee Transmittal
- _____ Status Inquiry
- _____ Other: (*Please describe below*)



U.S. PTO FEES ENCLOSED

- _____ Filing Fee
- _____ Surcharge Fee
- _____ Additional Claim Fee
- _____ Recordation/Indexing Fee
- _____ IDS Fee
- _____ Extension Fee
- _____ Notice of Appeal Fee
- _____ Brief on Appeal
- _____ Oral Hearing Request Fee
- _____ Petition Fee
- _____ Issue Fee
- _____ Publication Fee
- _____ Maintenance Fee
- _____ Other Fees (Describe) _____
- _____
- _____
- 0.00 **Total Fees Paid**

- ☐ Check Number Attached
- ☐ Charge Deposit Account No.

*If Deposit Account was used, was a copy of this form sent to Accounting?

- ☐ Yes ☐ No

Reviewed By: _____
Signature of Attorney

_____ Date